

Lecture 21

Sorting

CSE11 Fall '13

Sorting

Unsorted Array

indices

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|---|---|----|----|----|---|----|---|---|---|
| 8 | 3 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

values

Sorted Array

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|----|---|---|---|---|---|---|----|----|----|
| -4 | 1 | 2 | 3 | 7 | 8 | 9 | 11 | 14 | 23 |

Sorting

- You can sort an array of objects **if there is a comparison method.**
 - e.g. `String.compareTo(another String)`
- We'll describe a number of different algorithms to sort arrays.
 - Bubble Sort
 - Selection Sort
 - Insertion Sort
 - Merge Sort
- Use integer arrays as examples.

compareTo()

- Many java objects properly define the compareTo function
 - obj1.compareTo(obj2)
 - Returns < 0 if obj1 “less than” obj2
 - Returns 0 if obj1 “equals” obj2
 - Returns > 0 if obj1 “greater than” obj2
 - The specific definition of “less than”, “equals”, “greater than” depends upon the class
 - e.g. Strings compare lexicographically
 - When you define your own “comparable” objects you define what this means. (e.g. You could compare areas of graphical objects as an non-obvious example)

BubbleSort

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|---|---|----|----|----|---|----|---|---|---|
| 8 | 3 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

- Basic Idea
 - compare $A[i]$ and $A[i+1]$
 - If $A[i] > A[i+1]$, **exchange** the array elements
 - First time through the array – largest element ends at highest index. It “bubbles” to the end of the array.
 - Next time through the array – the next largest element at highest – 1 index
 - Repeat N times to sort the array
 - Means you might do about N^2 comparisons

BubbleSort

| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|-----|---|---|----|----|----|---|----|---|---|---|
| i=0 | 8 | 3 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

swap >

| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|-----|---|---|----|----|----|---|----|---|---|---|
| i=0 | 3 | 8 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|-----|---|---|----|----|----|---|----|---|---|---|
| i=1 | 3 | 8 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

no swap

<

| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|-----|---|---|----|----|----|---|----|---|---|---|
| i=2 | 3 | 8 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

no swap

<

| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|-----|---|---|----|----|----|---|----|---|---|---|
| i=3 | 3 | 8 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

swap

>

BubbleSort

| | | | | | | | | | | |
|-----|---|---|----|----|----|---|----|---|---|---|
| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| i=4 | 3 | 8 | 14 | 11 | 23 | 9 | -4 | 2 | 7 | 1 |

swap

>

| | | | | | | | | | | |
|-----|---|---|----|----|---|----|----|---|---|---|
| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| i=5 | 3 | 8 | 14 | 11 | 9 | 23 | -4 | 2 | 7 | 1 |

swap

>

| | | | | | | | | | | |
|-----|---|---|----|----|---|----|----|---|---|---|
| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| i=6 | 3 | 8 | 14 | 11 | 9 | -4 | 23 | 2 | 7 | 1 |

swap

>

| | | | | | | | | | | |
|-----|---|---|----|----|---|----|---|----|---|---|
| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| i=7 | 3 | 8 | 14 | 11 | 9 | -4 | 2 | 23 | 7 | 1 |

swap

>

| | | | | | | | | | | |
|-----|---|---|----|----|---|----|---|---|----|---|
| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| i=8 | 3 | 8 | 14 | 11 | 9 | -4 | 2 | 7 | 23 | 1 |

swap

>

BubbleSort

| | | | | | | | | | |
|---|---|----|----|---|----|---|---|---|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 3 | 8 | 14 | 11 | 9 | -4 | 2 | 7 | 1 | 23 |

Largest number (23) bubbled to the end
Rest of array not sorted

Do it again for one size smaller array

| | | | | | | | | | |
|---|---|----|----|---|----|---|---|---|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 3 | 8 | 14 | 11 | 9 | -4 | 2 | 7 | 1 | 23 |

i=0

no swap

>

| | | | | | | | | | |
|---|---|----|----|---|----|---|---|---|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 3 | 8 | 14 | 11 | 9 | -4 | 2 | 7 | 1 | 23 |

i=1

no swap

>

| | | | | | | | | | |
|---|---|----|----|---|----|---|---|---|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 3 | 8 | 14 | 11 | 9 | -4 | 2 | 7 | 1 | 23 |

i=2

swap

>

BubbleSort

| | | | | | | | | | |
|---|---|----|---|----|---|---|---|----|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 3 | 8 | 11 | 9 | -4 | 2 | 7 | 1 | 14 | 23 |

>

Second Largest number (14) bubbled to the
end of the shorter array
Rest of array not sorted

**Do it again for one size smaller array
Keep doing this until you have the entire array
sorted**

| | | | | | | | | | |
|----|---|---|---|---|---|---|----|----|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| -4 | 1 | 2 | 3 | 7 | 8 | 9 | 11 | 14 | 23 |

>

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Analysis of Bubblesort

- Array of length N
 - # of comparisons =
 - $(N-1) + (N-2) + (N-3) \dots (1) = N^2 - N$
- This is the simplest sorting algorithm to implement.
- It's also the *least* efficient
 - If asked in a job interview to do a sort, don't do this :-)

Selection Sort

- Similar to Bubblesort
 - instead of doing pairwise comparisons, find the largest element in the array, **select it, and exchange it with the largest index (A.length - 1)**

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | |
|-----|---|---|----|----|----|---|----|---|---|---|
| i=9 | 8 | 3 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

Maxvalue = 1; scan array and find the *index* of the largest value > 1

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|---|---|----|----|----|---|----|---|---|---|
| 8 | 3 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

idx=3



Selection Sort

| | | | | | | | | | |
|---|---|----|---|----|---|----|---|---|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 8 | 3 | 14 | 1 | 11 | 9 | -4 | 2 | 7 | 23 |

Exchange A[i] and A[idx], Then
look at the index A.length-2

| | | | | | | | | | | |
|-----|---|---|----|---|----|---|----|---|---|----|
| i=8 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| | 8 | 3 | 14 | 1 | 11 | 9 | -4 | 2 | 7 | 23 |

Maxvalue =7; scan array and find the *index* of the largest value > 7

| | | | | | | | | | |
|---|---|----|---|----|---|----|---|---|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 8 | 3 | 14 | 1 | 11 | 9 | -4 | 2 | 7 | 23 |

idx=2



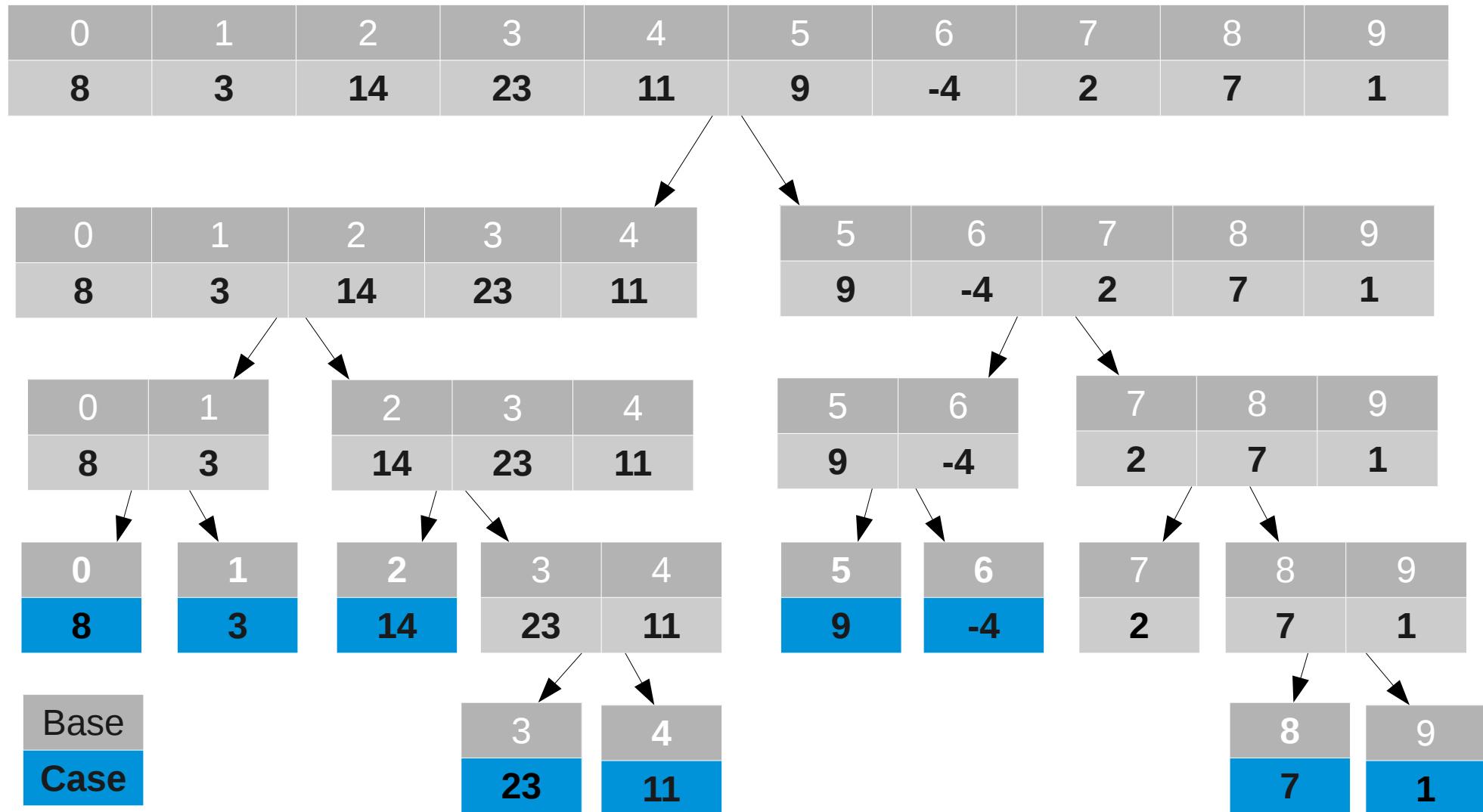
Keep Doing this until the entire array is sorted

Merge Sort

- This is “divide and conquer” algorithm
- It can be coded as an iteration or recursively
- We'll describe it graphically, then in code.

Merge Sort

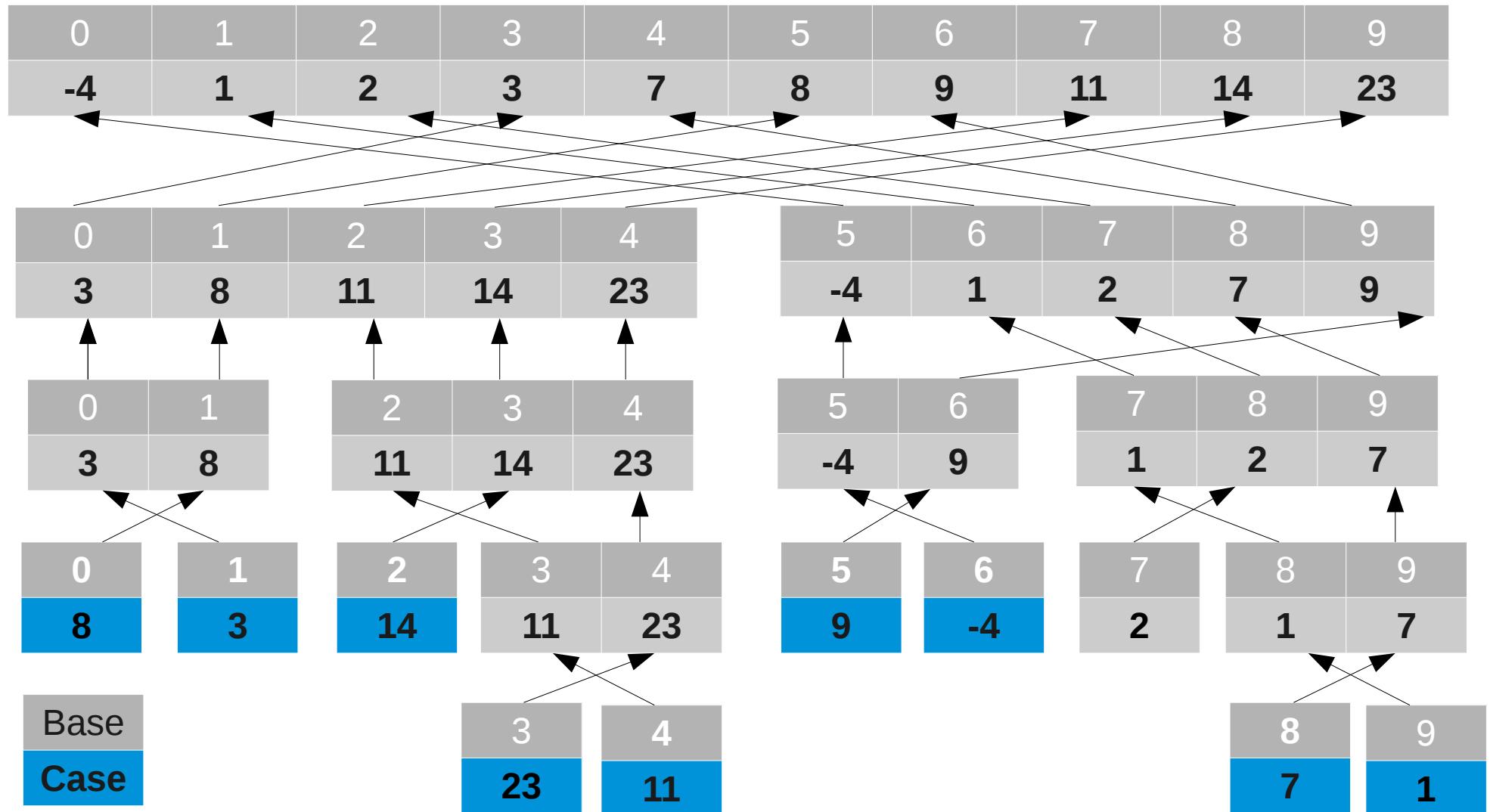
Divide



Merge

Each Level is sorted

Merge the sorted arrays from the level below



How does merging work?

left = 0

| | | | | |
|----------|----------|-----------|-----------|-----------|
| 0 | 1 | 2 | 3 | 4 |
| 3 | 8 | 11 | 14 | 23 |

right = 5

| | | | | |
|-----------|----------|----------|----------|----------|
| 5 | 6 | 7 | 8 | 9 |
| -4 | 1 | 2 | 7 | 9 |

@i=3

left=0

| | | | | | | | | | |
|-----------|----------|----------|----------|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| -4 | 1 | 2 | ? | | | | | | |

Dest

```
for (i = 0; i < Dest.length; i++) {
    if (left > 4 || right > 9) break;
    if (A[left] < A[right])
        Dest[i] = A[left++];
    else
        Dest[i] = A[right++];
}
// Copy whatever is left over
while (left < 4) Dest[i++] = A[left++];
while (right < 9) Dest[i++] = A[right++];
```

How many comparisons in Merge Sort

- $(N/2) + 2(N/4) + 4(N/8) + \dots 2^{\log N} (N/N)$
- $2^0(N/2) + 2^1(N/4) + 2^2(N/8) + \dots + 2^{\log N}$
- $\sim N * \log N$
- BubbleSort and Selection Sort $\sim N^2$
- MergeSort $\sim N \log N$
- $N = 1000$
 - (bubble/selection) $\sim 1,000,000$ comparisons
 - Merge sort ~ 12000 comparisons

Searching

- Linear search --
 - Start at index 0, go through entire array until you find what you are looking for
 - Very inefficient for large arrays
- Binary Search
 - Must have a sorted array to start with
 - Look at middle element of array, if element is < search, look at the right half, > then search in left half (if find a match you are done)
 - Continue dividing until either found or nothing is left to divide

Binary Search

- **Array must be sorted!**
- binsearch (14) find the index where “14” is the value, -1 if not found
 - leftidx = 0, rightidx = A.length - 1
 - Search index = (leftidx + rightidx)/2

| | | | | | | | | | |
|----|---|---|---|---|---|---|----|----|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| -4 | 1 | 2 | 3 | 7 | 8 | 9 | 11 | 14 | 23 |

A[4] < 14, Look in
right half of array

| | | | | |
|---|---|----|----|----|
| 5 | 6 | 7 | 8 | 9 |
| 8 | 9 | 11 | 14 | 23 |

A[7] < 14, Look in
right half of array

| | |
|----|----|
| 8 | 9 |
| 14 | 23 |

A[8] == 14, return 8

LogN comparisons to find an element

Insertion Sort

| | | | | | | | | | |
|---|---|----|----|----|---|----|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 8 | 3 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

Empty Sorted Array

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| | | | | | | | | | |

i=0



| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 8 | | | | | | | | | |

- i=1. Search for index where 3 should go (a modification of binary search). This is the **insertion** index. Put 3 in that place (0), make space if needed

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 3 | 8 | | | | | | | | |

Insertion Sort

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|---|---|----|----|----|---|----|---|---|---|
| 8 | 3 | 14 | 23 | 11 | 9 | -4 | 2 | 7 | 1 |

i=2. Search for index where 14 should go. Put 14 in that place (2),

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|---|---|----|---|---|---|---|---|---|---|
| 3 | 8 | 14 | | | | | | | |

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|---|---|----|----|---|---|---|---|---|---|
| 3 | 8 | 14 | 23 | | | | | | |

i=4. Search for index where 11 should go. Insert 11 in that place (2),

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|---|---|----|----|----|---|---|---|---|---|
| 3 | 8 | 11 | 14 | 23 | | | | | |

N insertions, NLogN comparisons for search